

MathWorks  
**AUTOMOTIVE  
CONFERENCE 2022**  
North America

**AI Workflows for Battery State Estimation**

*Javier Gazzari, MathWorks*



SOC\_PIL - Simulink

SIMULATION    DEBUG    MODELING    FORMAT    HARDWARE    APPS    SIL/PIL

System Under Test: Model blocks in SIL/PIL m...  
Top Model Mode: Normal

Stop Time: timeVector  
Fast Restart    Step Back    Run SIL/PIL    Step Forward    Stop

Settings    Monitor Signals

PREPARE    RUN    RESULTS

Referenced Files

SOC\_PIL

input    SOC\_Estimation (PIL)    AI    [estim]    [A] true    SOC

measuredSOC true    [A]    [C] current    [D] voltage    [E] temperature    inputSignals

FFN\_TensorFlow    SVM    EKF    ShallowNN    SVMOPT    LSTM    Tree    FFN\_MATLAB

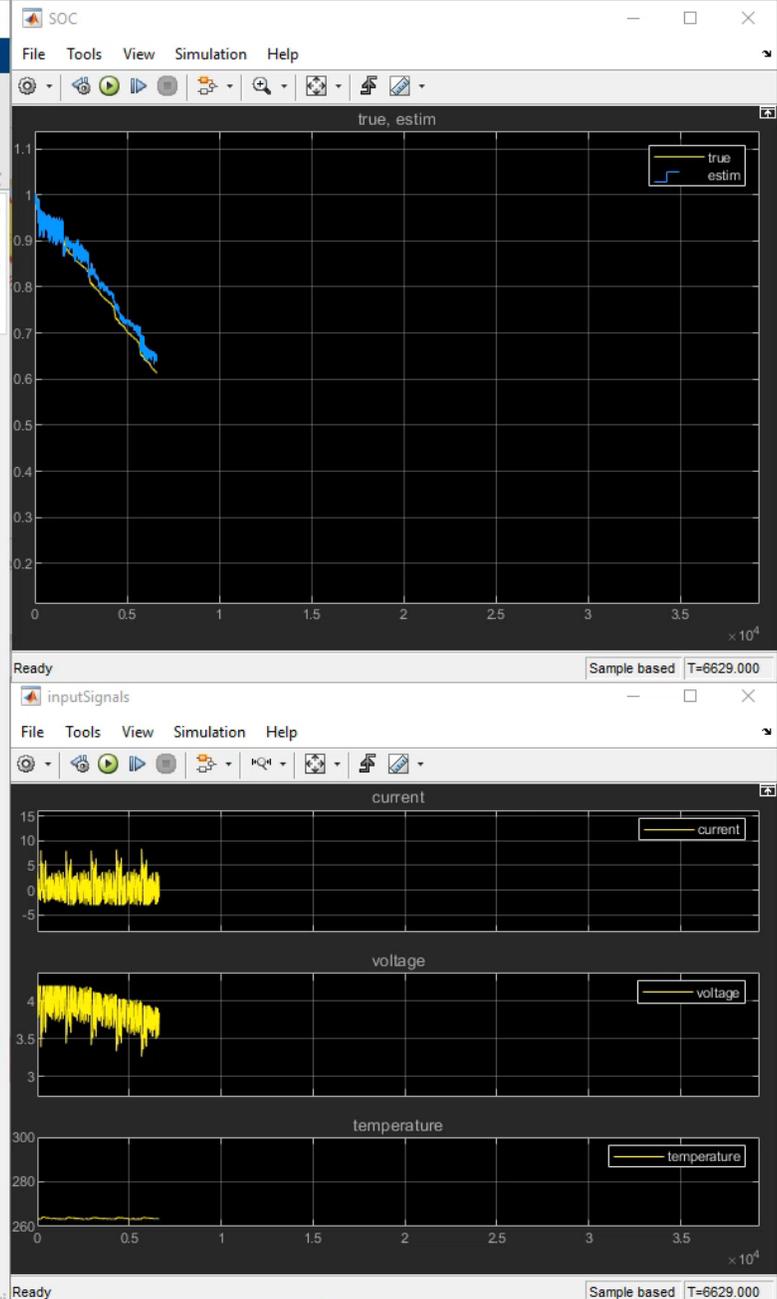
Diagnostic Viewer

```

09:04 2022-07-27 09:04:19.5100 /SOC_Estimation/...
### Done invoking postbuild tool.
### Invoking postbuild tool "ELF To Binary Converter" ...
arm-none-eabi-objcopy -O binary ./SOC_Estimation.elf ../.././SOC_Estimation.bin
### Done invoking postbuild tool.
### Successfully generated all binary outputs.

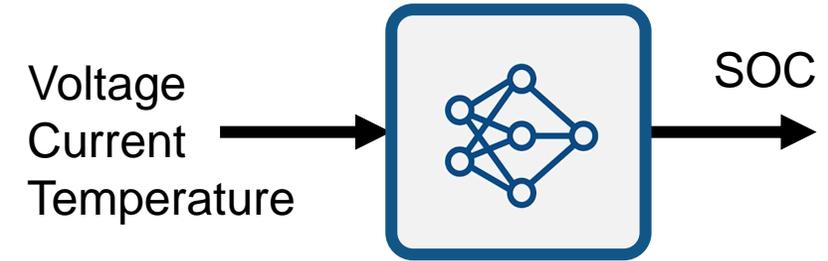
C:\Users\jgazzarr\OneDrive - MathWorks\Work\Projects\AI_MBD\SOC_Estimation\work\s1prj\ert\SOC_Estimation\pil>exit /B 0
### Updating code generation report with PIL files ...
### Starting application: 'work\s1prj\ert\SOC_Estimation\pil\SOC_Estimation.elf'

```

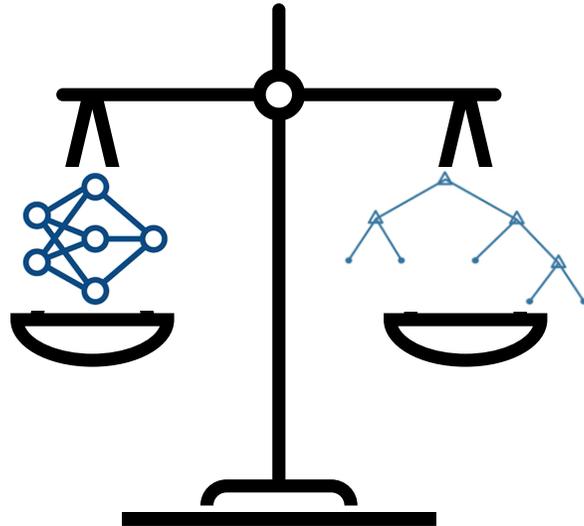
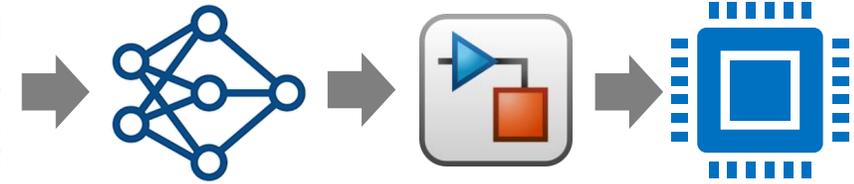


# Agenda

- Develop AI-based battery SOC estimation
- Workflow - From data acquisition to hardware deployment
- Compare different AI methods



Voltage	Current	Temperature
0.7510	0.3851	0.3031
0.7510	0.3852	0.3046
0.7510	0.3852	0.3061
0.7510	0.3852	0.3076
0.7510	0.3852	0.3091

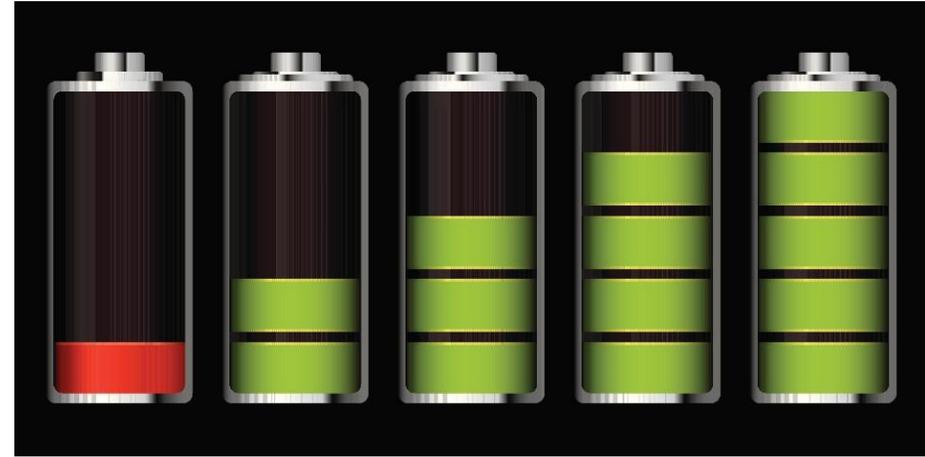


# Battery State of Charge (SOC)

$$SOC(t) = \frac{1}{C} \int_0^t I(p) dp$$

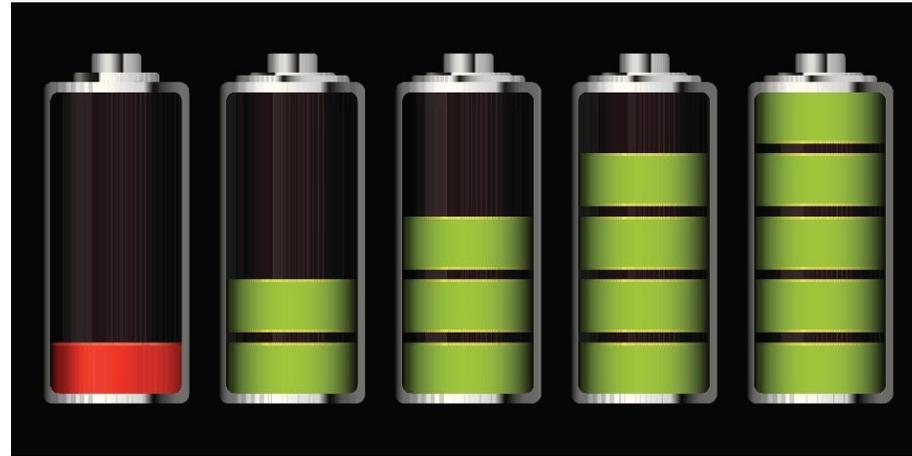
capacity

current

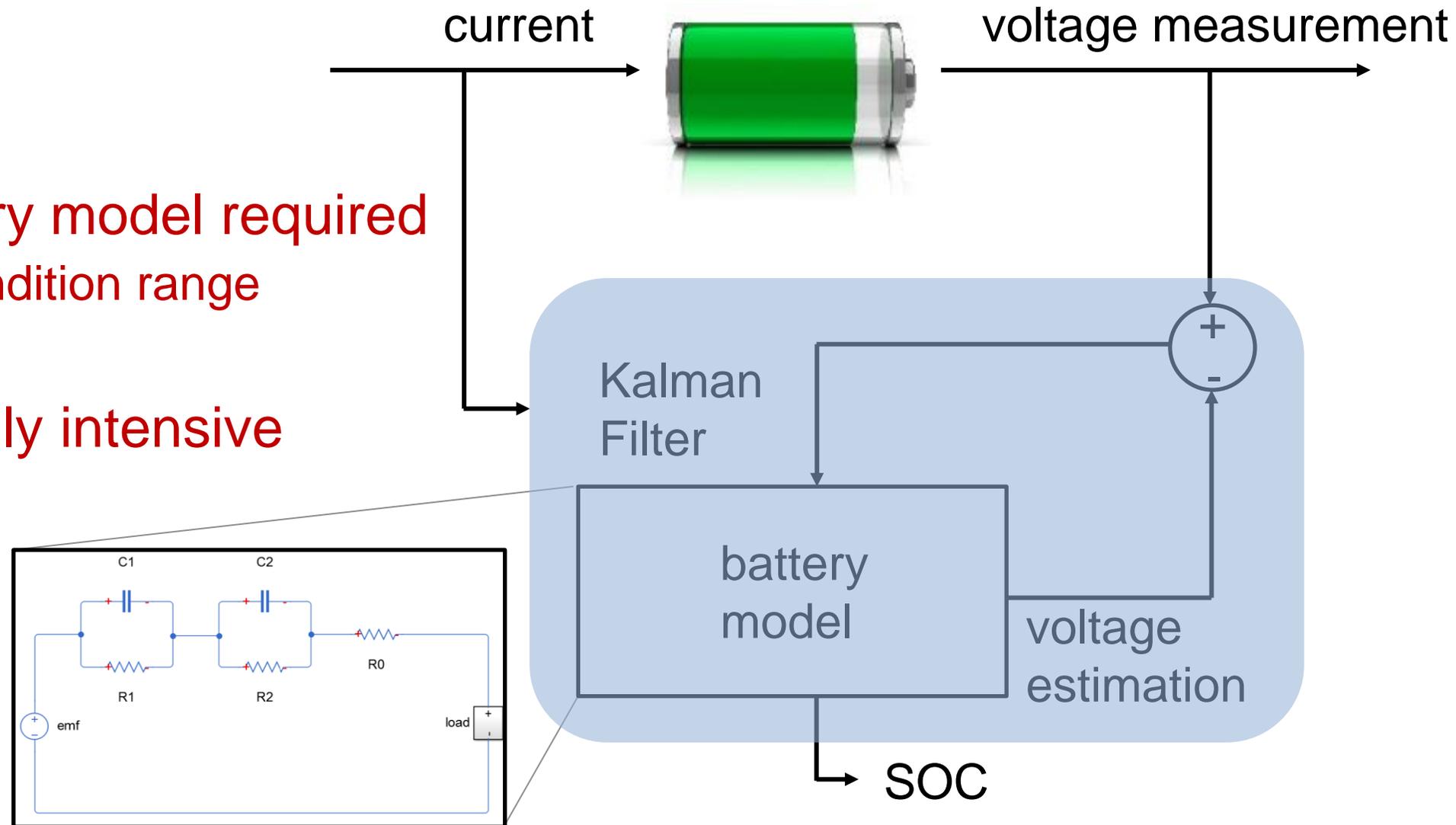


- *Not directly measurable*
- *Affected by sensor error*

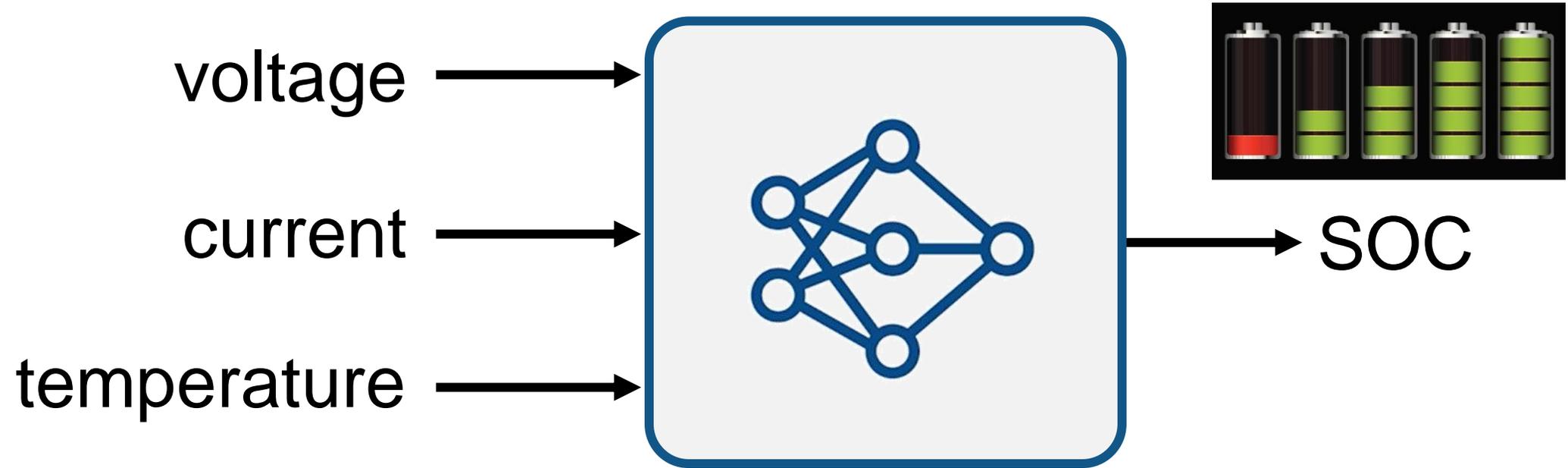
# Kalman Filter



- Well understood
- Accurate
- Detailed battery model required
  - Operating condition range
- Computationally intensive



# How About...



# Comparison

## EKF

- Well understood
- Accurate
- Detailed battery model required
  - Operating condition range
- Computationally intensive

## AI

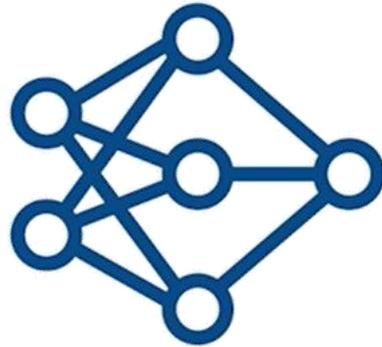
- No need for battery model
- Training on real data
- Capture very complex data relationships
- Difficult to interpret
- Computationally intensive

# AI-driven System Design

## Data Preparation

Voltage	Current	Temperature
0.7510	0.3851	0.3031
0.7510	0.3852	0.3046
0.7510	0.3852	0.3061
0.7510	0.3852	0.3076
0.7510	0.3852	0.3091

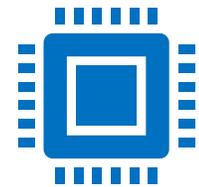
## AI Modeling

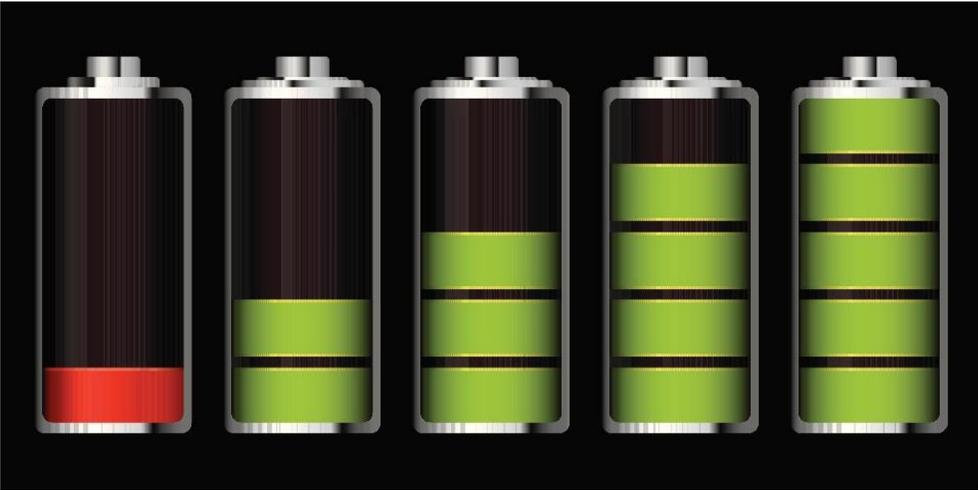
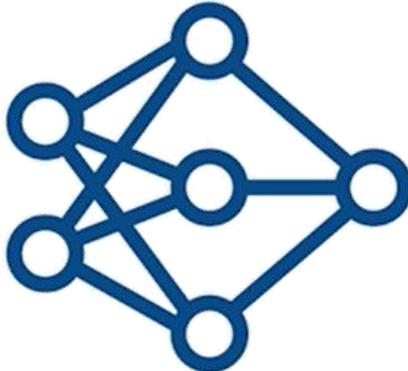


## Simulation & Test



## Deployment







# Robust xEV Battery State-of-Charge Estimator Design Using a Feedforward Deep Neural Network

**Carlos Vidal, Phillip Kollmeyer, and Mina Naguib** McMaster Automotive Res. Centre

**Pawel Malysz and Oliver Gross** FCA US LLC

**Ali Emadi** McMaster University

**Citation:** Vidal, C., Kollmeyer, P., Naguib, M., Malysz, P. et al., "Robust xEV Battery State-of-Charge Estimator Design Using a Feedforward Deep Neural Network," SAE Technical Paper 2020-01-1181, 2020, doi:10.4271/2020-01-1181.

## Abstract

Battery state-of-charge (SOC) is critical information for the vehicle energy management system and must be accurately estimated to ensure reliable and affordable electrified vehicles (xEV). However, due to the nonlinear temperature, health, and SOC dependent behaviour of Li-ion

(FNN) approach. The method includes a description of data acquisition, data preparation, development of an FNN, FNN tuning, and robust validation of the FNN to sensor noise. To develop a robust estimator, the FNN was exposed, during training, to datasets with errors intentionally added to the data, e.g. adding cell voltage variation of  $\pm 4\text{mV}$ , cell current

# Acquire and prepare data

Data Preparation

Voltage	Current	Temperature
0.7510	0.3851	0.3031
0.7510	0.3852	0.3046
0.7510	0.3852	0.3061
0.7510	0.3852	0.3076
0.7510	0.3852	0.3091

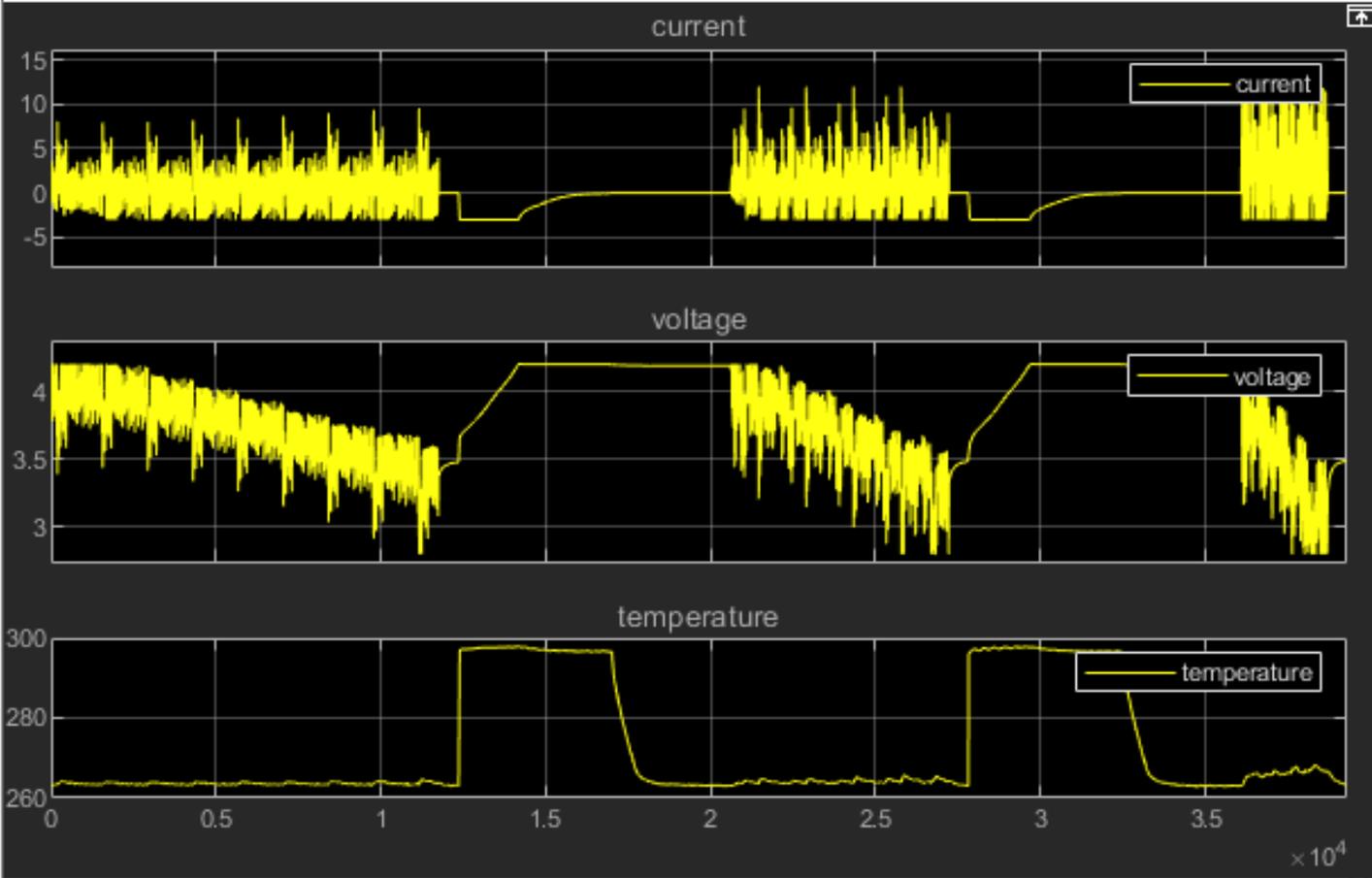
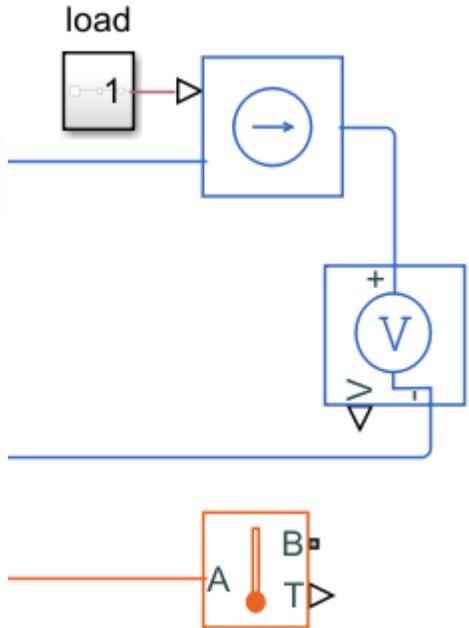
AI Modeling



Simulation & Test



Deployment



- Configure AI function
- Train and Test AI function

**Training Options**

**SOLVER**

Solver: sgdm

InitialLearnRate: 0.01

**BASIC**

ValidationFrequency: 50

MaxEpochs: 30

MiniBatchSize: 128

ExecutionEnvironment: auto

**SEQUENCE**

SequenceLength: longest

SequencePaddingValue: 0

SequencePaddingDirection: right

**ADVANCED**

L2Regularization: 0.0001

GradientThresholdMethod: l2norm

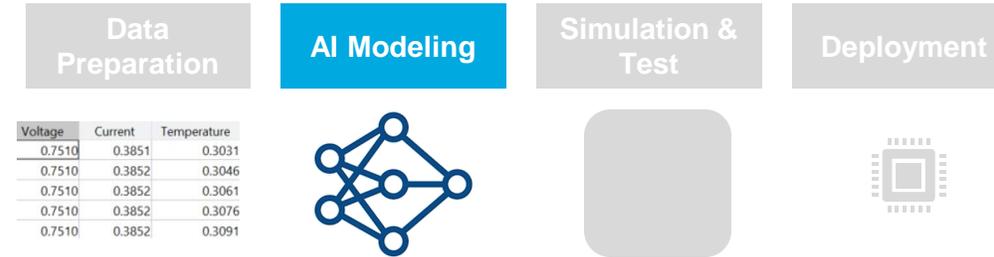
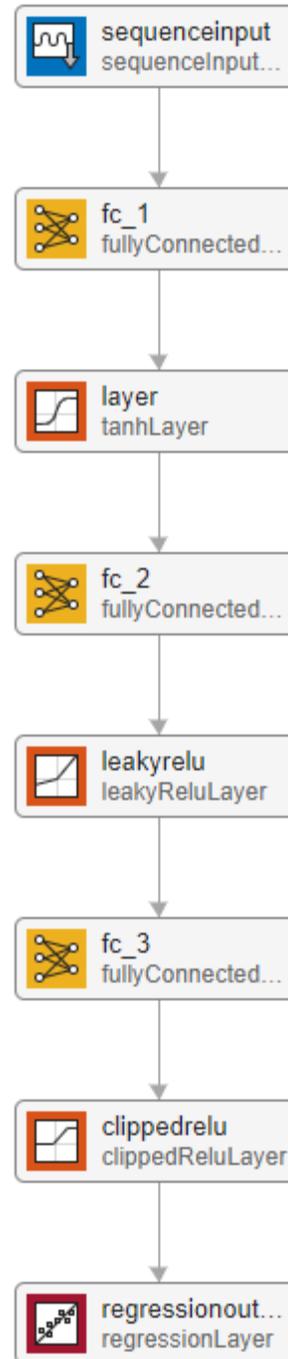
GradientThreshold: Inf

ValidationPatience: Inf

Shuffle: every-epoch

CheckpointPath: Specify checkpoint path

CheckpointFrequency: 1



**Deep Network Designer**

**TRAINING**

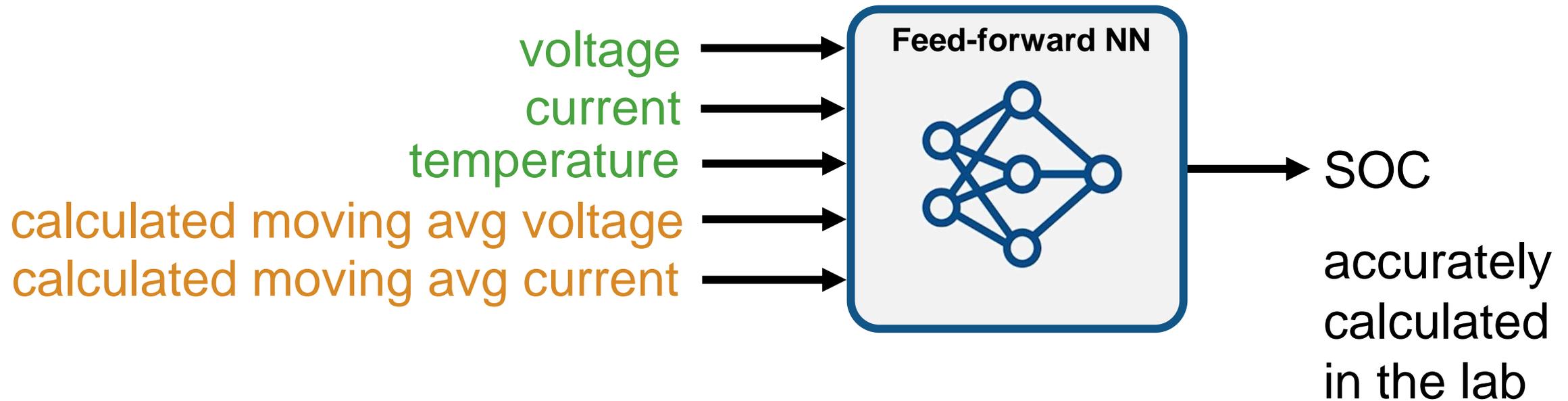
Training Options

Train

Export Training Plot

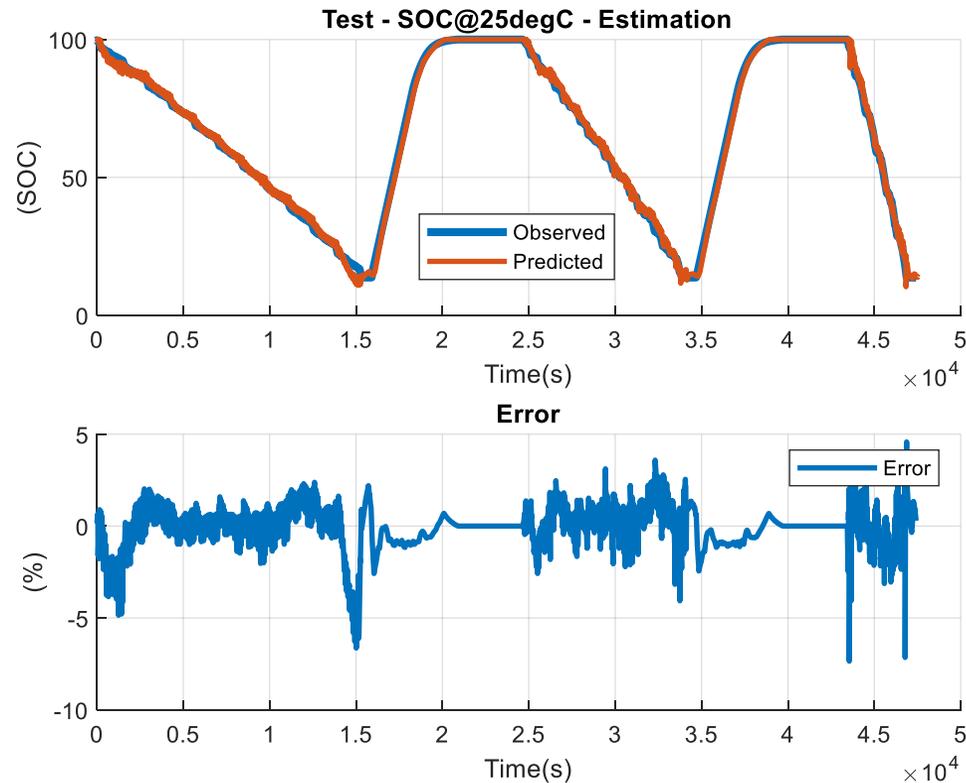
Export

DESIGNER | DATA | **TRAINING**

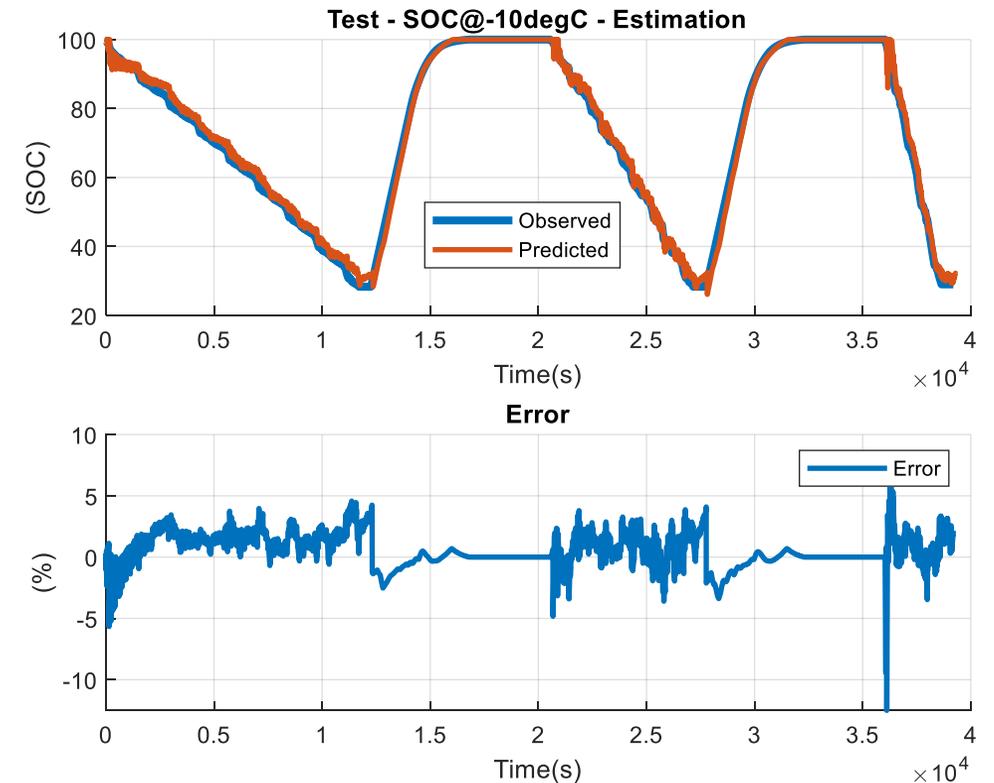


# Results

## 25°C



## -10°C



prediction  
ground truth

# Simulink Integration

Voltage	Current	Temperature
0.7510	0.3851	0.3031
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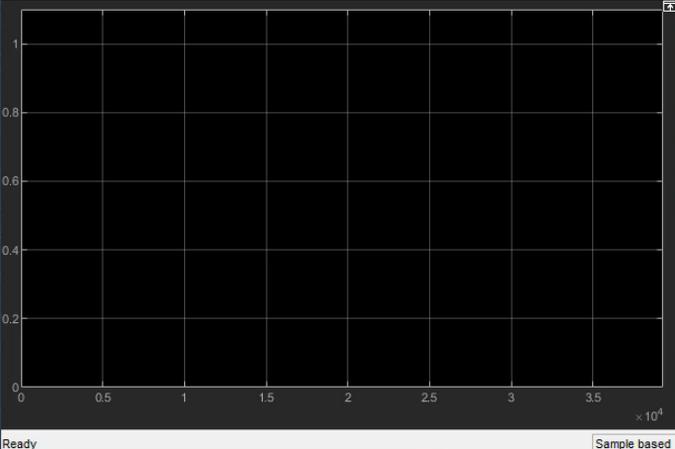


Simulink Library Browser

transmission line

Deep Learning Toolbox/Deep Neural Networks

- > NXP Model-Based Design Toolbox for S32K3xx MCUs
- > Simulink
- > Aerospace Blockset
- > Audio Toolbox
- > Automated Driving Toolbox
- > Automotive Math and Motor Control Library for NXP S32K3
- > Communications Toolbox
- > Communications Toolbox HDL Support
- > Computer Vision Toolbox
- > Control System Toolbox
- > Data Acquisition Toolbox
- > Deep Learning Toolbox
  - Deep Neural Networks
    - Shallow Neural Networks
      - Control Systems
      - Net Input Functions
      - Processing Functions
      - Transfer Functions
      - Weight Functions
  - DSP System Toolbox
  - DSP System Toolbox HDL Support
  - Embedded Coder
  - Embedded Coder Support Package for ARM Cortex-M Proc
  - Fixed Point Designer



simulinkImplementation \* - Simulink

SIMULATION | DEBUG | MODELING | FORMAT | APPS

Stop Time: input(end)

Log Signals | Add Viewer | Signal Table

Step Back | Run | Step Forward | Stop

Data Inspector | Logic Analyzer | Bird's-Eye Scope | Simulation Manager

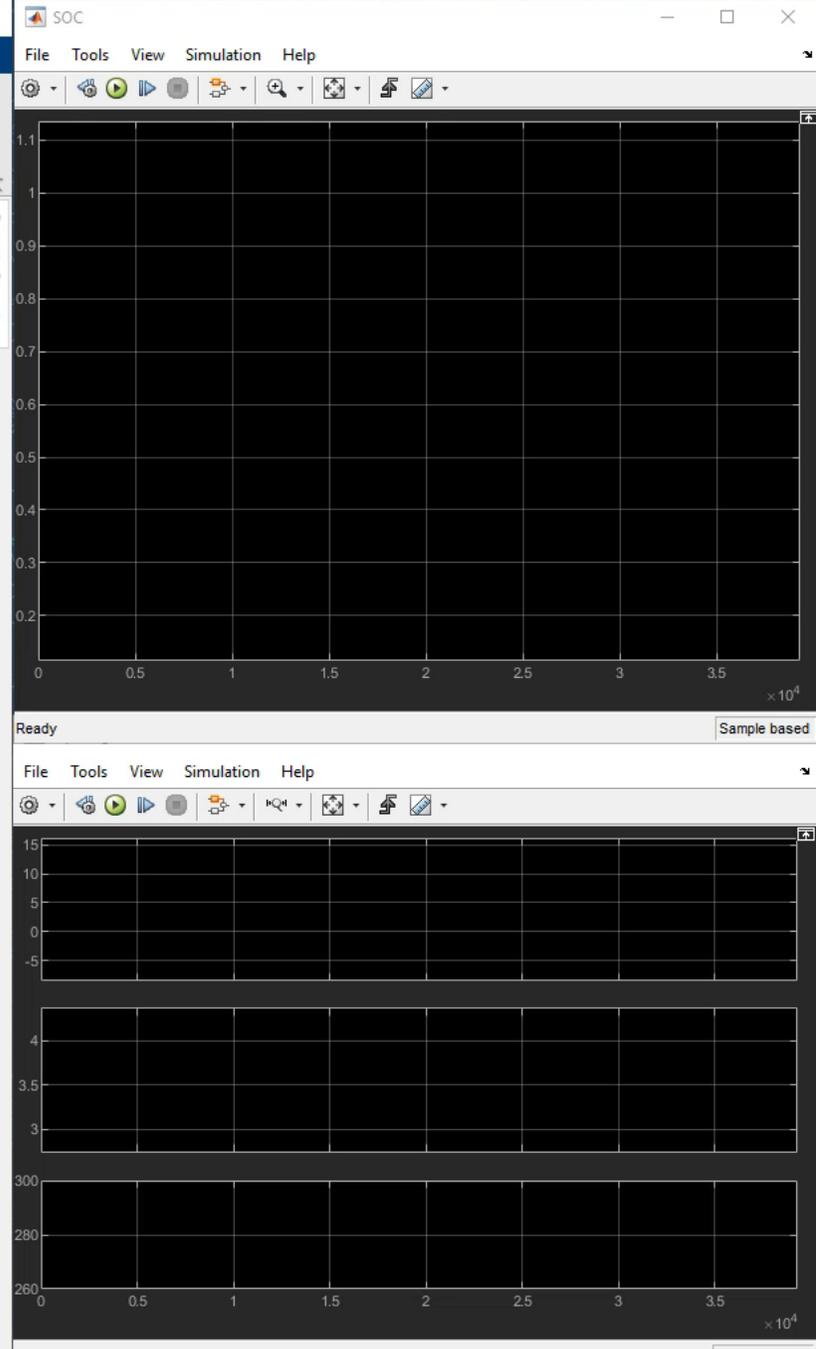
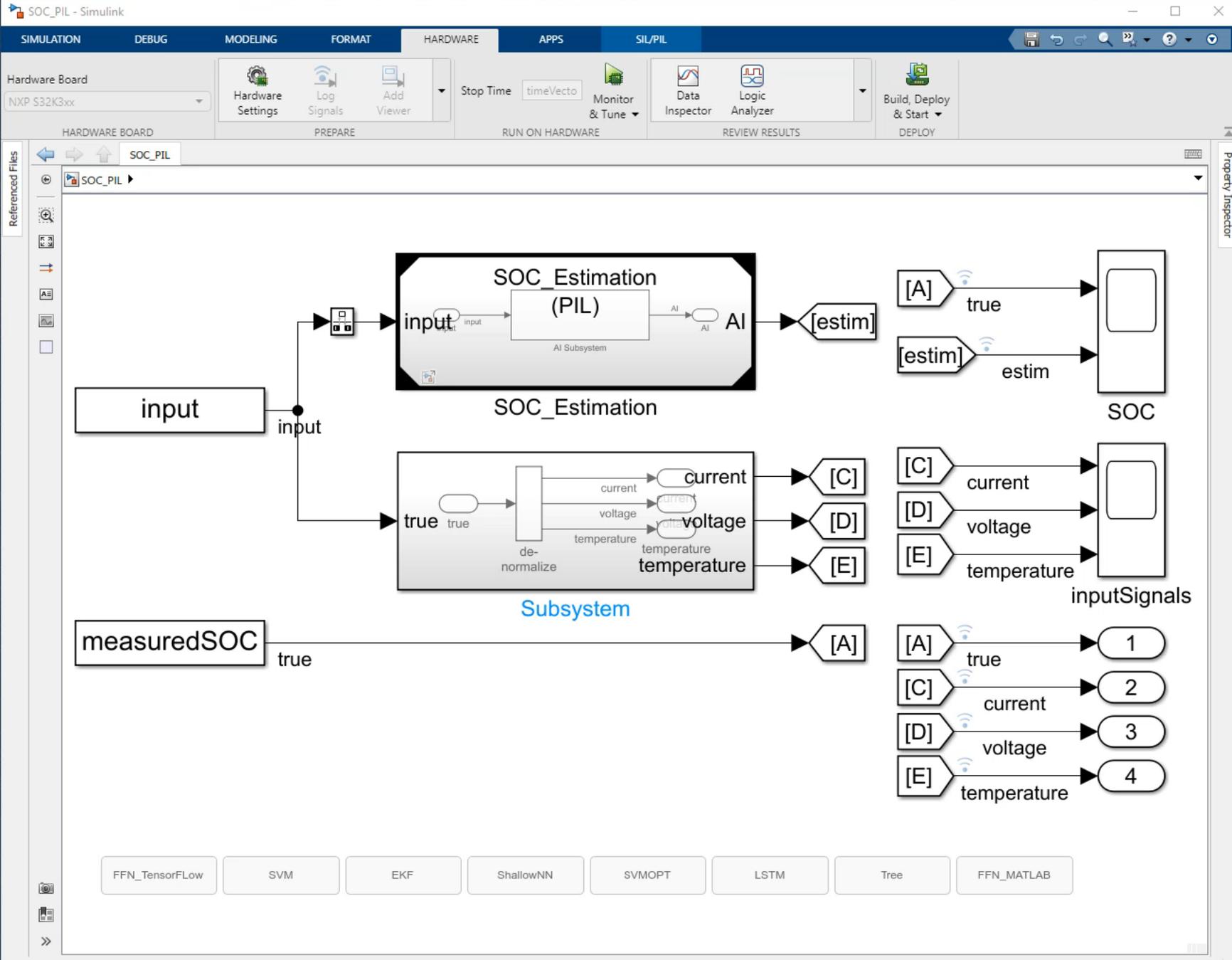
simulinkImplementation

Model Browser

input →  $u^T$

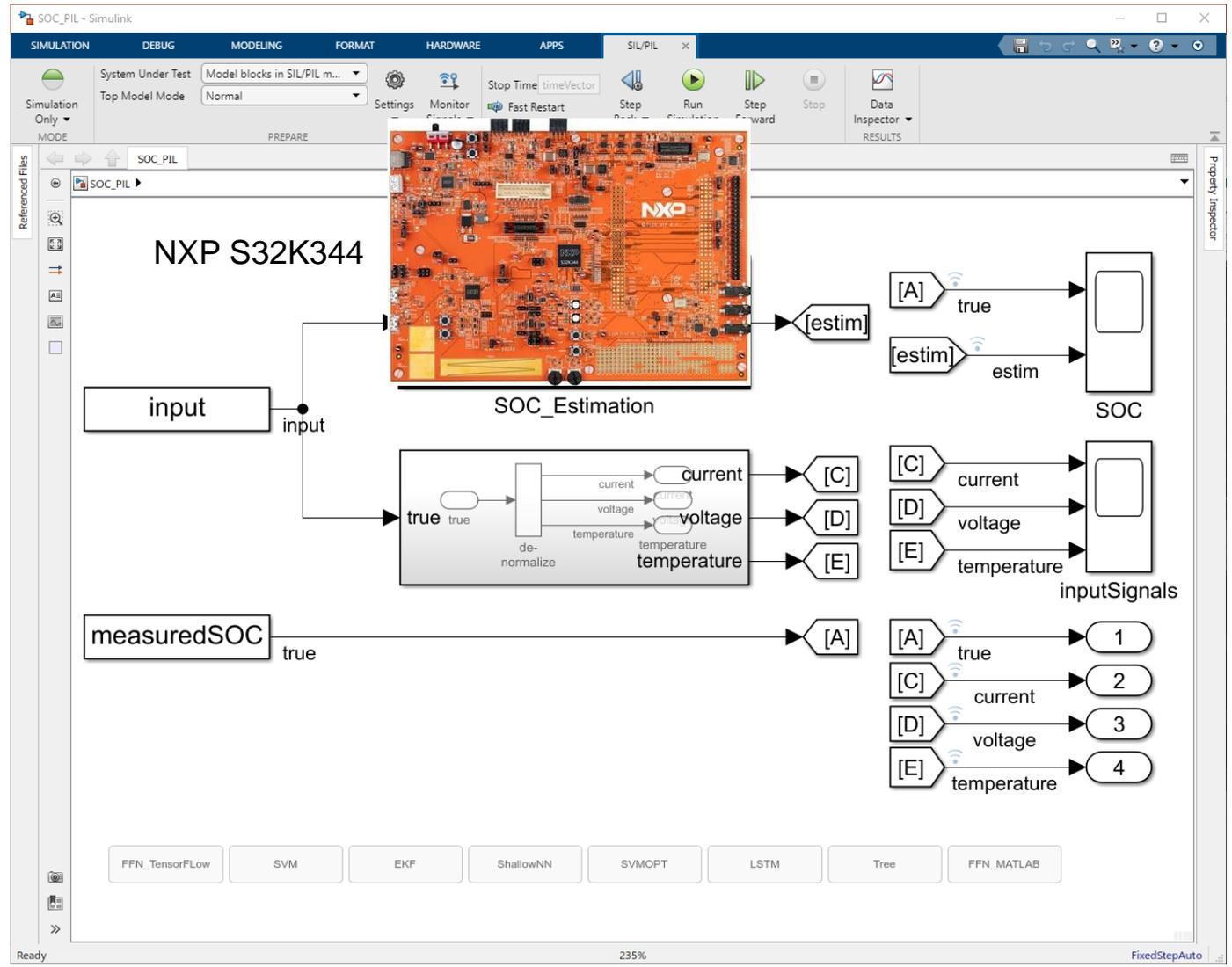
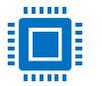
Property Inspector

Ready | 175% | FixedStepDiscrete



# Processor-in-the-Loop (PIL) Testing on ARM Cortex-M7 Processor

Voltage	Current	Temperature
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0.7510	0.3852	0.3076
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## C Code Generation

- FFN\_TensorFlow
- SVM
- EKF
- ShallowNN
- SVMOPT
- LSTM
- Tree
- FFN\_MATLAB

SOC\_PIL - Simulink

SIMULATION    DEBUG    MODELING    FORMAT    HARDWARE    APPS    SIL/PIL

System Under Test: Model blocks in SIL/PIL m...  
 Top Model Mode: Normal

Stop Time: timeVector  
 Fast Restart    Step Back    Run SIL/PIL    Step Forward    Stop

MODE: SIL/PIL Only

Referenced Files: SOC\_PIL

input → SOC\_Estimation (PIL) → estim

input → de-normalize → current, voltage, temperature

measuredSOC → true

inputSignals: [A] true, [C] current, [D] voltage, [E] temperature

SOC: [A] true, [estim] estim

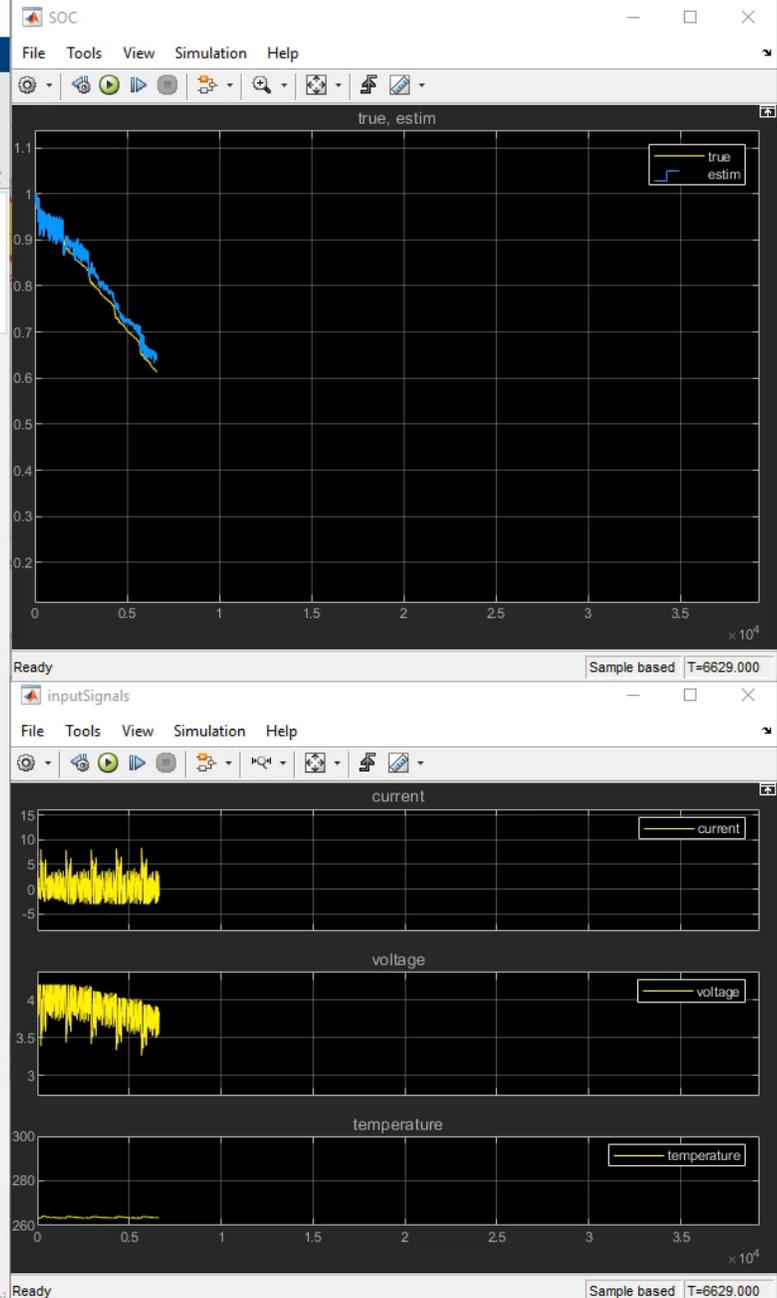
FFN\_TensorFlow    SVM    EKF    ShallowNN    SVMOPT    LSTM    Tree    FFN\_MATLAB

Diagnostic Viewer

```

09:04 2022-07-27 09:04:19.100 21674 /SOC_Estimation/elf
### Done invoking postbuild tool.
### Invoking postbuild tool "ELF To Binary Converter" ...
arm-none-eabi-objcopy -O binary ./SOC_Estimation.elf ../../././././SOC_Estimation.bin
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C:\Users\jgazzarr\OneDrive - MathWorks\Work\Projects\AI_MBD\SOC_Estimation\work\s1prj\ert\SOC_Estimation\pil>exit /B 0
### Updating code generation report with PIL files ...
### Starting application: 'work\s1prj\ert\SOC_Estimation\pil\SOC_Estimation.elf'
    
```



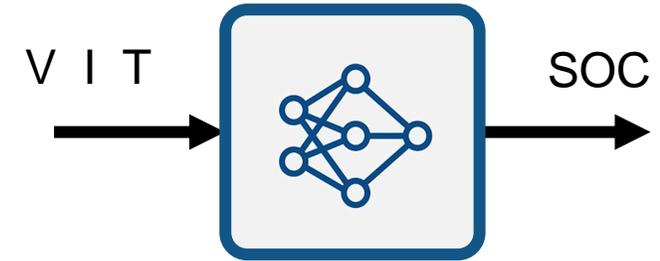
# Tradeoffs and Benchmark

	<b>EKF</b> Extended Kalman Filter	<b>Tree</b> Fine Regression Tree	<b>FFN</b> 1-hidden layer Feedforward Network	<b>LSTM</b> Stacked Long Short-Term Memory Network
Training Speed	N/A			
Interpretability				
Inference Speed *				
Model Size *				
Accuracy (RMSE)				

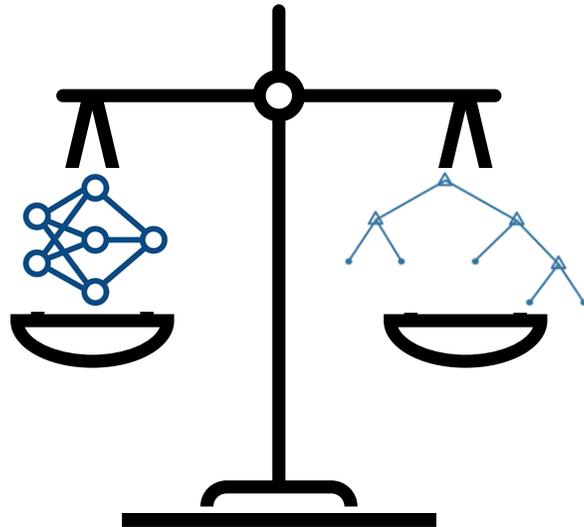
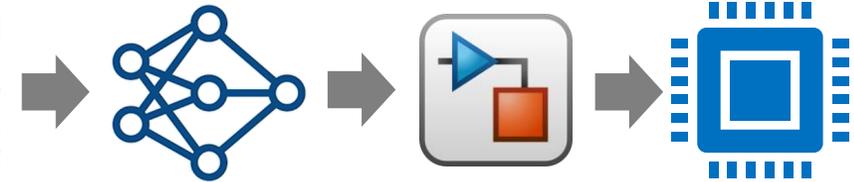
*Results are specific to this example*

# Summary

- Develop AI-based Battery SOC Estimation
- Workflow - From Data Acquisition to Hardware Deployment
- Compare Different Methods AI



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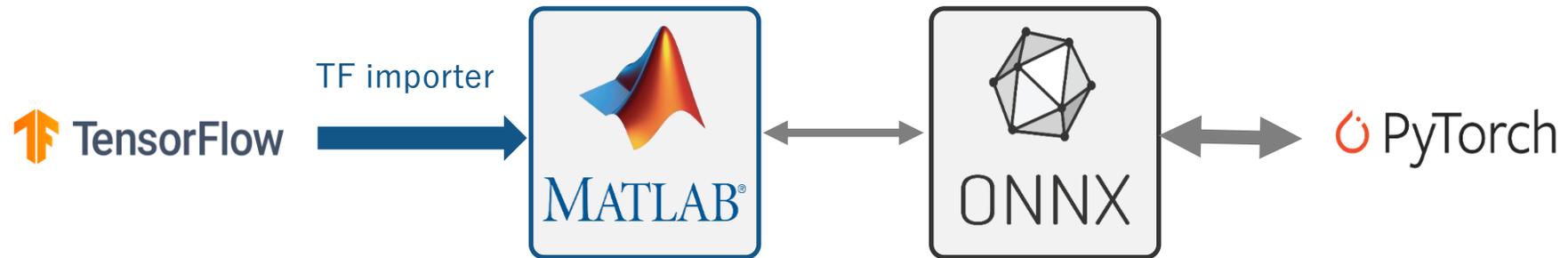


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**Thank you**



# Import Pre-Trained Model



# Why Virtual Sensors?

1) When a physical sensor is expensive or impractical

